



# Graphite as a potassium ion battery anode in carbonate-based electrolyte and ether-based electrolyte



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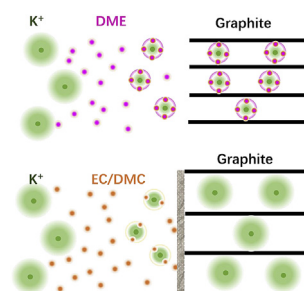
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## HIGHLIGHTS

- Graphite anode in ether-based electrolyte has good rate performance.
- Different thermodynamic operational voltages in different electrolytes.
- K<sup>+</sup>-DME complexes co-insert into graphite.
- No formation of SEI between DME-based electrolyte and graphite.
- Low volume expansion and high K<sup>+</sup> diffusion rate in DME-based electrolyte.

## GRAPHICAL ABSTRACT



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## ABSTRACT

The graphite as the potassium ion battery anode is studied in KPF<sub>6</sub>-EC/DMC and KPF<sub>6</sub>-DME electrolytes. It is found that the graphite demonstrates superior rate performance with a capacity of 87 mAh g<sup>-1</sup> at a current rate of 10 C (corresponding to 2.8 A g<sup>-1</sup>) and excellent capacity retention ability of 84% after 3500 cycles in DME-based electrolyte. Moreover, its initial coulombic efficiency is 87.4%, higher than 69.6% in EC/DMC-based electrolyte. The K<sup>+</sup> solvating with DME complexes co-intercalate into graphite leading a high operational voltage at ~0.7 V vs. ~0.2 V in carbonate-based electrolyte, a fast apparent K<sup>+</sup> diffusion coefficient of 10<sup>-8</sup> cm<sup>2</sup> s<sup>-1</sup>, a negligible solid-electrolyte interface film, a small volume expansion (7.7% in (002) plane vs. 63% in EC/DMC electrolyte). This study addresses the importance of electrolyte in altering the potassium storage mechanisms to tune the energy density and power density in potassium ion batteries (KIBs).

## 1. Introduction

Lithium ion batteries (LIBs) with high energy density and power

density are flagships in energy storage devices [1–4]. While the lithium as a trace mineral in earth's crust, mainly locating at Latin America, is only 20 ppm and it distributes unevenly in the global. Thus, other

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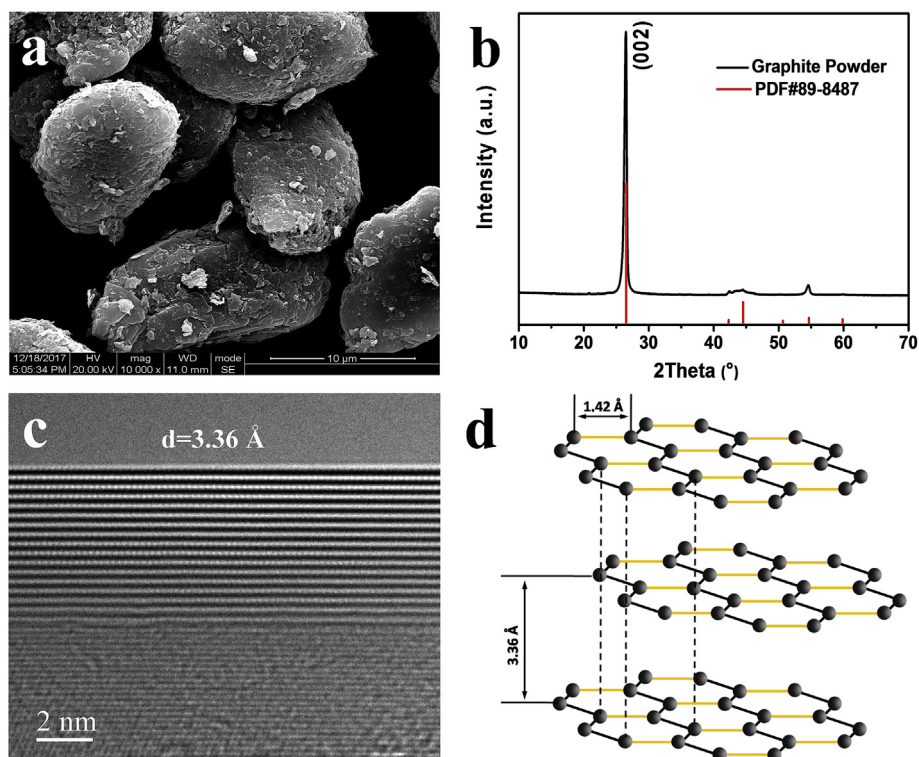


Fig. 1. Phase characterization and morphology of graphite. (a) SEM image, (b) XRD pattern, (c) HRTEM image, (d) Crystallographic structure.

alkaline metal ions, for instance,  $\text{Na}^+$  and  $\text{K}^+$ , having similar chemical properties with  $\text{Li}^+$  are alternatives. K element (2.6%) is one of the most natural common sources. The cost of potassium carbonate is 1/6 of lithium carbonate [5] and the electrolyte salt  $\text{LiPF}_6$  costs about 20 times more than  $\text{KPF}_6$  [6]. Moreover, the redox potential for  $\text{K}^+/\text{K}$  is  $-2.93$  V vs. SHE, which is close to  $-3.04$  V of  $\text{Li}^+/\text{Li}$  and lower than  $-2.71$  V of  $\text{Na}^+/\text{Na}$  [7]. In addition, the Al has no electrochemical reactions with K so that Al can be used as the current collector at the anode side instead of Cu that is typically used in lithium ion batteries. In this way, the potassium ion batteries (KIBs) possibly offer higher energy densities than LIBs and sodium ion batteries (NIBs) in practical applications. Note that although the  $\text{K}^+$  has a larger ionic radius  $1.38$  Å than  $0.76$  Å of  $\text{Li}^+$  and  $1.02$  Å of  $\text{Na}^+$ , it has a lower Lewis acidity which leads to a smaller Stokes radius. For example, the  $\text{Li}^+$ ,  $\text{Na}^+$ , and  $\text{K}^+$  in propylene carbonate (PC) solvents have the Stokes radii  $4.8$  Å,  $4.6$  Å, and  $3.6$  Å, respectively [8]. Meanwhile, the potassium salt can have a higher ionic conductivity compared with lithium salt and sodium salt in PC solvent. A small Stokes radius and high ionic conductivity guarantee a high power density for KIBs.

Till now, a variety of electrodes have been reported for KIBs [7,9,10]. As for cathodes, Prussian blue analogues  $\text{K}_2\text{M}[\text{Fe}(\text{CN})_6]$  with  $\text{M} = \text{Fe}, \text{Mn}, \text{Cu}, \text{Ni}$  etc. [11,12],  $\text{KVOPO}_4$ , and  $\text{KVPO}_4\text{F}$  [13] have demonstrated promising high operational voltages  $> 4.0$  V vs.  $\text{K}^+/\text{K}$ . Regarding anodes, various carbons including hard carbon, soft carbon, graphene, and graphite have been reported [14–17]. Among them, graphite, which is one of the most common and the most successful commercial LIBs anodes, is reported to form  $\text{KC}_8$  with a theoretical capacity of  $280$  mAh  $\text{g}^{-1}$  [15]. Compared with  $\text{LiC}_6$ , which has an expansion of 10% in the (002) plane from graphite [18], formation of  $\text{KC}_8$  makes a huge linear expansion from  $3.36$  Å to  $5.32$  Å to be 58% [19], resulting in a poor capacity retention behavior. But it is still intriguing and surprising as graphite is assumed to have no ability of storage K since it is already reported that  $\text{Na}^+$  can not insert into graphite in the same analogue type electrolyte [20].

It is known that electrolyte plays an important role on the electrochemical performance of electrodes, including capacity, rate

performance, operational voltages, cycling performance, and so on [21]. Graphite demonstrates no capacity as sodium ion anodes in  $\text{NaPF}_6$ -PC electrolyte, but a capacity of  $150$  mAh  $\text{g}^{-1}$  with an operational voltage of  $0.5$  V in  $\text{NaPF}_6$ -DEGDME electrolyte [22]. In our recent study, we have found that  $\text{TiS}_2$  as the KIBs cathode in carbonate-based electrolyte and ether-based electrolyte has a similar thermodynamic behavior, but totally different kinetic response [10]. The solvents have various electron donation numbers, which bring in “naked” or “sheath” ions and have influences on the interaction force with host electrode materials. Simultaneously, the solvents have different chemical and electrochemical stability leading solid electrolyte interphases (SEI) with distinct physico-chemical properties.

In this study, we revisit the graphite as a potassium ion batteries anode. We comparatively study its electrochemical properties including thermodynamic and kinetic behaviors in carbonate-based and ether-based electrolytes. Taking advantages of galvanostatic intermittent titration technique (GITT), Randle-Sevcik equation based on cyclic voltammetry (CV), electrochemical impedance spectroscopy (EIS) as well as transmission electron microscopy (TEM), it is found that the carbonate-based and ether-based electrolytes bring about different potassium atom storage behaviors in graphite in terms of operational voltage, capacity, as well as rate performance.  $\text{K}^+$ -DME complexes having small Stokes radii co-intercalate into graphite with a small volume swell (7%–15%), a fast diffusion rate ( $\sim 10^{-8}$   $\text{cm}^2 \text{s}^{-1}$ ), and almost no formation of SEI with a high initial Coulombic efficiency 87%.

## 2. Experimental and characterizations

### 2.1. Electrode preparation and electrochemical tests

Graphite bought from Ipsilon Company was directly used without any modification or further treatment. Graphite electrodes were composed of the active material (nature graphite, 90 wt %), sodium carboxymethyl cellulose (CMC) binder (5 wt %) and Super P (5 wt %) on copper foil. They were dried in vacuum oven at  $110$  °C for 10 h before assembling. The average weight of the active material per graphite

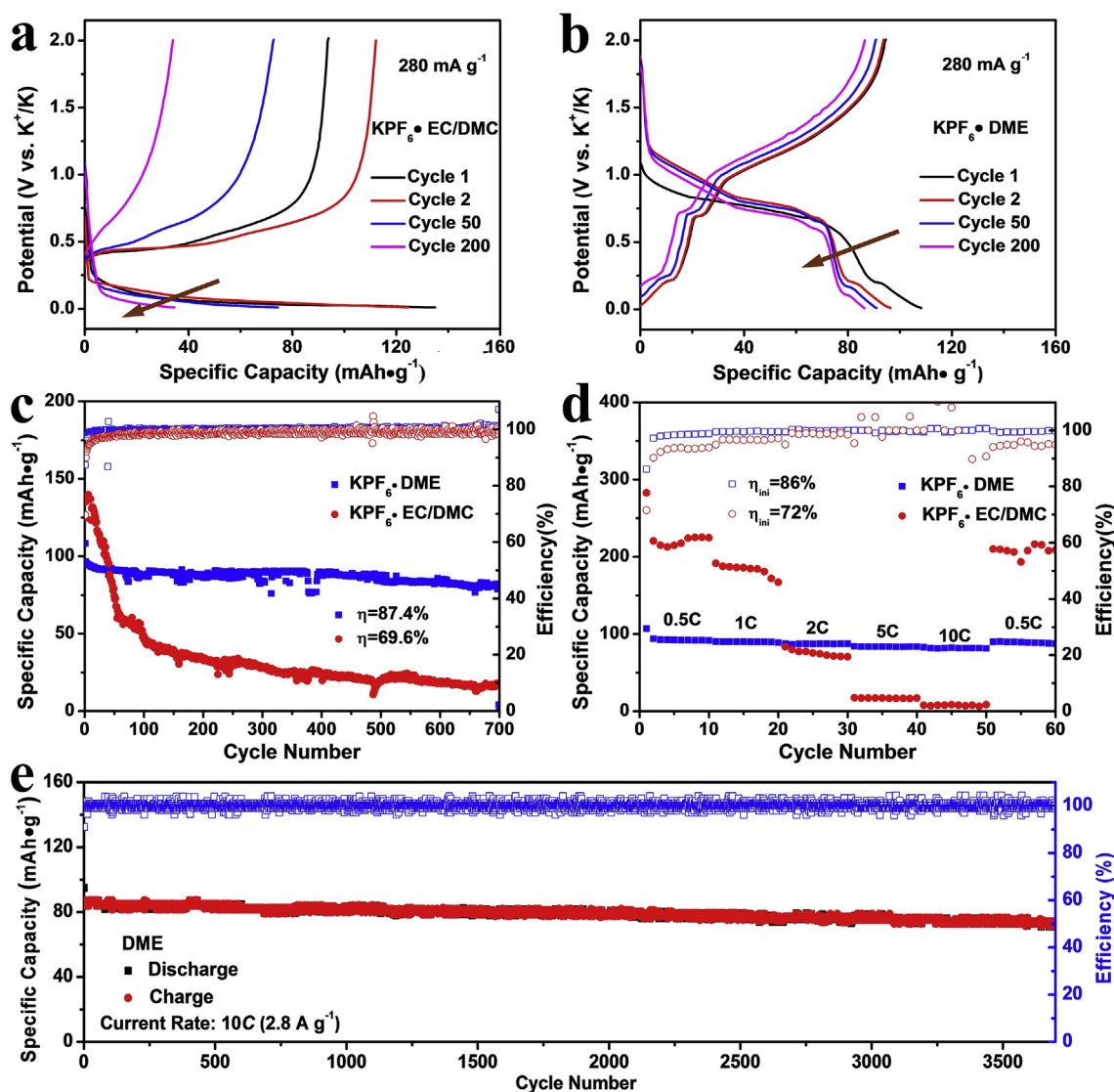


Fig. 2. Electrochemical performance of graphite. Galvanostatic discharge-charge curves (a) in carbonate-based electrolyte and (b) in ether-based electrolyte, (c) cycling performance, (d) rate performance, (e) high rate performance at 10 C in DME-based electrolyte.

electrode was about  $2.0 \text{ mg cm}^{-2}$ . Potassium metal and glass fiber film were used as the anode electrode and the separator, respectively. The electrolytes were 1M  $\text{KPF}_6$  dissolved in 1,2-dimethoxyethane (DME, called ether-based electrolyte) and ethylene carbonate/dimethyl carbonate mixture (EC/DMC by 1:1 vol, called carbonate-based electrolyte). The half-cells were assembled in an argon-filled glove box. All the electrochemical tests are performed using a 2-electrode cell with graphite as a working electrode and potassium metal as a counter electrode. The electrochemical performance measurements were using a CT2001A cell test instrument (LANHE Electronic Co.) in the voltage range of 0.01–2.00 V. Cyclic voltammetry (CV) was tested using Arbin BT2000 electrochemical workstation (Arbin Instruments Co. Ltd.). Electrochemical impedance spectroscopy (EIS) measurements were performed at the frequency range of 10 mHz– $10^5$  Hz at amplitude of 10 mV. Galvanostatic intermittent titration technique (GITT) measurement were measured by using constant current density  $C/5$  for 3 min then relaxing for 30 min (1 C corresponds to current density  $280 \text{ mA g}^{-1}$  to form  $\text{KC}_6$ ).

## 2.2. Materials characterizations

Scanning Electron Microscopy (SEM) images were taken by Hitachi

S-4800 (Japan). Transmission electron microscopy (TEM) was performed using an aberration-corrected FEI Titan Themis G2 microscope operated at 80 kV. X-ray diffraction (XRD) patterns were recorded by X'Pert ProMPD using  $\text{CuK}\alpha$  radiation ( $\lambda = 1.5418 \text{ \AA}$ ) with a scan step of  $0.02^\circ$  in the  $2\theta$  range of  $10^\circ$ – $70^\circ$ . Raman measurements were performed using OTBP1218-200 with a 532 nm laser source (ZOLIX INSTRUMENTS CO., LTD.).

## 3. Results and discussion

SEM image in Fig. 1a shows that the graphite has ellipsoidal shapes with sizes from  $5 \mu\text{m}$  to  $15 \mu\text{m}$ . The big micro-size particle is composed of nano-size plates. XRD pattern (Fig. 1b) demonstrates that the graphite is a pure hexagonal phase (S.G. P63 mc), which is well indexed to the JCPDS card No. 89–8487. According to the Bragg's law of  $\lambda = 2d\sin\theta$ , the lattice plane (002) located at  $2\theta = 26.49^\circ$  has a layer distance of  $3.36 \text{ \AA}$ . It is in line with the TEM observation (Fig. 1c). Its crystallographic structure is presented in Fig. 1d, which are ABA stacking graphene layers via Wan der Walls forces. Since the C–C is  $\text{sp}^2$  covalent bonds in the hexagonal sheet, the  $p_z$  orbitals out of plane provides the electrons to form un-localized  $\pi$  bonds so as to have the metallic properties with a high electrical property of  $10^3 \text{ S cm}^{-1}$  in bulk

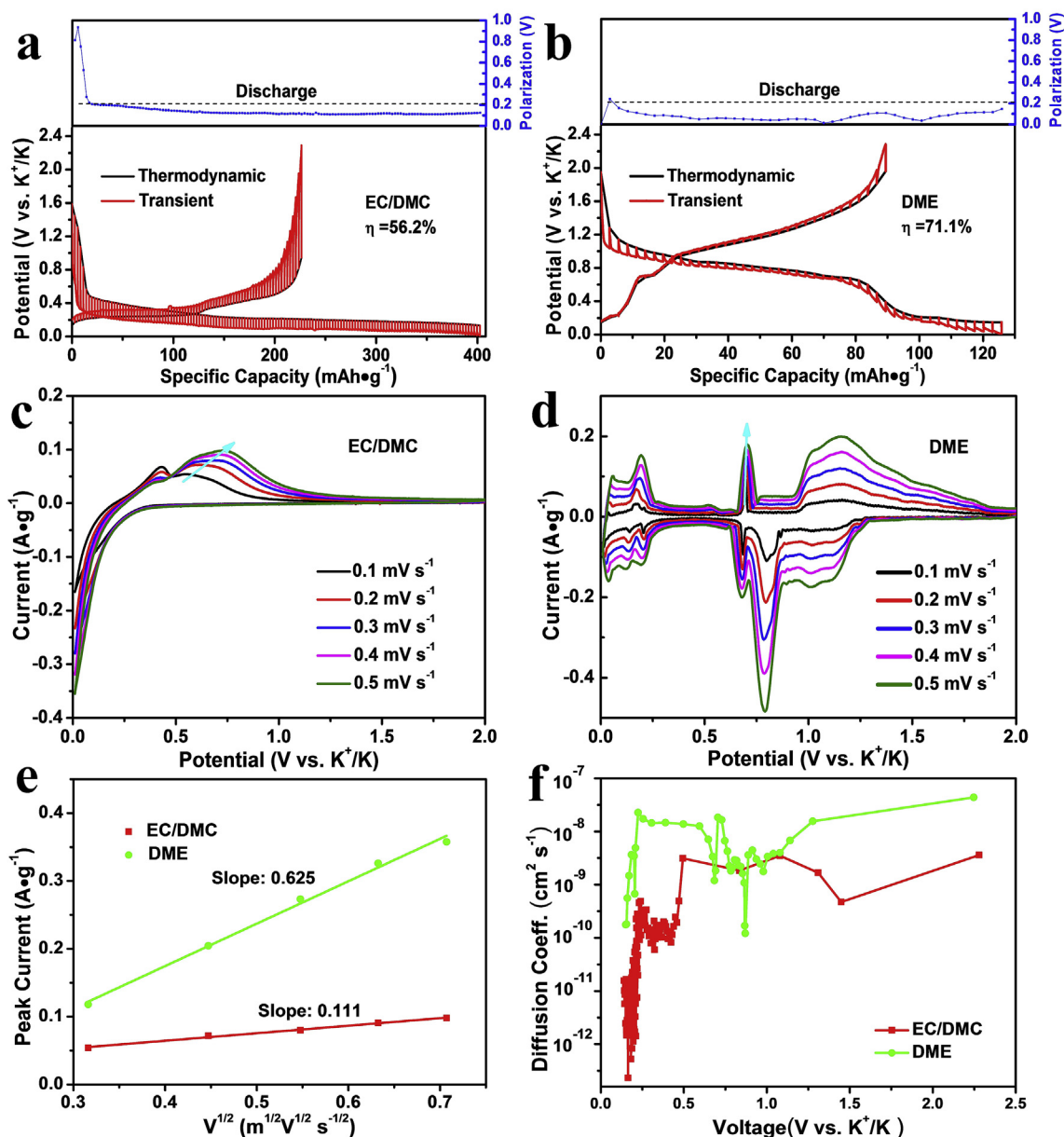


Fig. 3. GITT curves and voltage polarization in discharge process (a) in carbonate-based electrolyte and (b) in ether-based electrolyte, (c) cyclic voltammetry curves at different scan rates in EC/DMC system, (d) cyclic voltammetry curves at different scan rates in DME system, (e) The peak current as a function of square root of scan rate based on cyclic voltammetry curves, (f)  $K^+$  diffusion coefficients at various voltages based on GITT curves.

[23].

The electrochemical performances of graphite as K-ion battery anodes in  $KPF_6$ -EC/DMC electrolyte and  $KPF_6$ -DME electrolyte are shown in Fig. 2. Galvanostatic discharge-charge curves depict that there is a high electrochemical polarization in carbonate-based electrolyte (Fig. 2a). Its initial discharge capacity is  $135 \text{ mAh g}^{-1}$  at a current density of  $1 \text{ C}$  ( $280 \text{ mAh g}^{-1}$ ), far from the theoretical capacity of  $280 \text{ mAh g}^{-1}$ . Meanwhile, it suffers from severe capacity decay to be  $125 \text{ mAh g}^{-1}$ ,  $74 \text{ mAh g}^{-1}$ ,  $34 \text{ mAh g}^{-1}$  at the 2nd, 50<sup>th</sup> and 200<sup>th</sup> cycle, respectively. In contrast, the initial discharge capacity in DME-based electrolyte is a little low to be  $108 \text{ mAh g}^{-1}$  (Fig. 2b). However, it is  $96 \text{ mAh g}^{-1}$ ,  $91 \text{ mAh g}^{-1}$ ,  $86 \text{ mAh g}^{-1}$  at the 2nd, 50<sup>th</sup> and 200<sup>th</sup> cycle, respectively. The capacity decay rate is 0.1% per cycle on average. Kim et al. observed a similar capacity with  $\sim 100 \text{ mAh g}^{-1}$  when sodium inserts into graphite in  $NaPF_6$ -DME electrolyte [24]. It is worth mentioning that the operational voltage in DME system is about  $0.7 \text{ V vs. } K^+/K$ , much higher than  $\sim 0.2 \text{ V}$  in EC/DMC one. According to

the report of Adelhelm and Kim et al., they have also obtained similar phenomena in Na storage natural graphite with  $NaPF_6$ -DEGDME electrolyte [22,25]. They ascribed to the formation of  $[K-DME_y]^+$ -graphite complex. Based on the Nernst equation ( $\Delta_r G^0 = -nFE^0$ ), the standard operational voltage is determined by the Gibbs free energy, which is effected by the energy between initial graphite and  $K-DME_y C_n$  complex.

The detailed capacity as a function of cycling number at  $1 \text{ C}$  rate is provided in Fig. 2c. It is obvious that the graphite in DME-based electrolyte has a much better capacity retention behavior with a capacity of  $80 \text{ mAh g}^{-1}$  after 700 cycles versus  $17 \text{ mAh g}^{-1}$  at 700<sup>th</sup> in EC/DMC-based one. Moreover, the initial Coulombic efficiency, an important parameter in the practical cell to match the cathode part for high energy density, is 87.4% in DME-based electrolyte versus 69.6% in EC/DMC system. It is also higher than the reported hard carbon (61.8%) [16] and soft carbon (56.4%) [15]. The DME-based electrolyte embodies its superiority to offer an outstanding rate performance as demonstrated in Fig. 2d. With the increase of current rate, the K storage



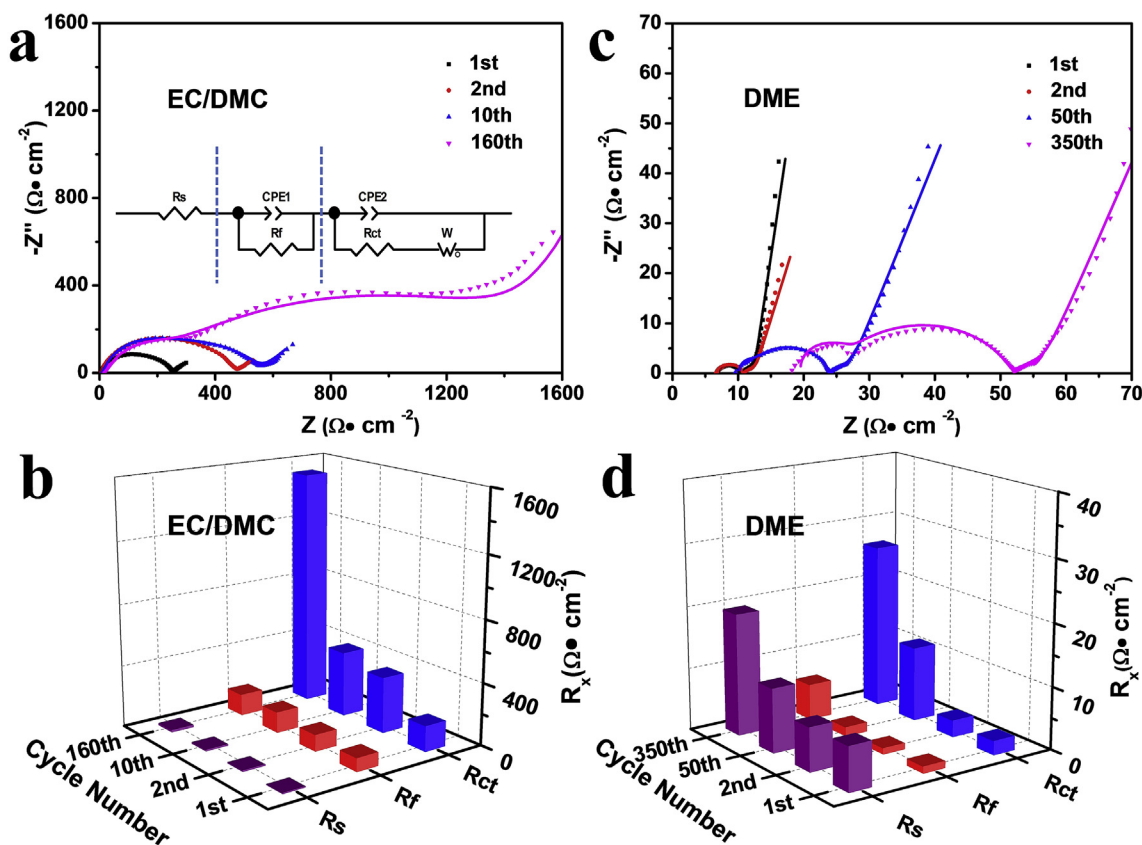


Fig. 4. Electrochemical impedance spectroscopy and fitting results for graphite at discharge state of 0.01 V in (a–b) EC/DMC-based electrolyte and (c–d) in DME-based electrolyte.

capacity is almost constant. It is  $90 \text{ mAh g}^{-1}$  at  $0.5 \text{ C}$  ( $140 \text{ mA g}^{-1}$ ) and  $82 \text{ mAh g}^{-1}$  at  $10 \text{ C}$  ( $2.8 \text{ A g}^{-1}$ ). While in the carbonate-based electrolyte, the graphite severely suffers from capacity loss from  $\sim 220 \text{ mAh g}^{-1}$  at  $0.5 \text{ C}$  to  $8 \text{ mAh g}^{-1}$  at  $10 \text{ C}$ , which indicates that the performance is highly limited by kinetics. The graphite with DME-based electrolyte with initial discharge capacity of  $94 \text{ mAh g}^{-1}$  decreases to  $50 \text{ mAh g}^{-1}$  after 3800 cycles at  $10 \text{ C}$  (Fig. 2e). The rate performance is comparable with the Na behavior in ether-based system [17,22,26], and superior than other reports of graphite as KIBs anodes [14,27–29].

Galvanostatic intermittent titration technique (GITT) is employed to detect the thermodynamic operational voltage (Fig. 3a–b). Its polarization potentials  $\Delta V$  are correspondingly determined. It is confirmed that the K is comparatively easier to intercalate into graphite in DME evidenced with a high operational voltage at  $\sim 0.7 \text{ V}$  vs.  $\text{K}^+/\text{K}$ . As in the EC/DMC system, according to the equilibrium state curve (the black color) with a step-by-step plateau shape, which is a typical phase-to-phase transition mechanism, it is explored to be stage structures from  $\text{KC}_{36}$  to  $\text{KC}_{24}$ , then to  $\text{KC}_8$  [15]. Nevertheless, the voltage-capacity curve in the DME-system is much more complicated with both a phase-to-phase transition at  $\sim 0.7 \text{ V}$  and a solid-solution behavior above  $0.7 \text{ V}$ . Overall, the potential polarization  $\Delta V$  in DME-based electrolyte is less than  $0.2 \text{ V}$ , smaller than that in EC/DMC-based one. CV curves at different scan rates obey the Randles-Sevcik relation (Fig. 3c–e), meaning the K ion diffusion in the solid-state electrode is the rate-determined step [30,31]. The peak current density as a function of the square root of the scan rate with a higher slope in the DME-based electrolyte testifies a better kinetic behavior. According to the Randles-Sevcik equation [32]  $I_p = 0.4463n^{3/2}F^{3/2}C_K+SR^{-1/2}T^{-1/2}D^{1/2}v^{1/2}$ , where  $I_p$  is the peak current (A),  $n$  is the number of electron transferred for the specific redox couple (assuming formation of  $\text{KC}_8$ ,  $n = 1/8$ ),  $F$  is the Faraday constant ( $96485.4 \text{ C mol}^{-1}$ ),  $C$  is the potassium ion concentration in graphite ( $0.0234 \text{ mol cm}^{-3}$ ),  $S$  is the electrode area ( $1.13 \text{ cm}^2$ ),  $R$  is the

gas constant ( $8.314 \text{ J mol}^{-1} \text{ K}^{-1}$ ),  $T$  is the temperature ( $298 \text{ K}$ ),  $D$  the diffusion coefficient ( $\text{cm}^2 \text{ s}^{-1}$ ),  $v$  is the scanning rate ( $\text{V s}^{-1}$ ), we obtain the  $\text{K}^+$  diffusion coefficients  $6.1 \times 10^{-10} \text{ cm}^2 \text{ s}^{-1}$  in EC/DMC-type system and  $3.0 \times 10^{-8} \text{ cm}^2 \text{ s}^{-1}$  in DME-type system. Meanwhile, we have also calculated the  $\text{K}^+$  diffusion coefficient based on the GITT results using the equation [16]:

$$D_{\text{K}^+} = \frac{4}{\pi} \left( \frac{m_B V_M}{M_B S} \right)^2 \left( \frac{\Delta E_S}{\tau(dE_\tau/d\sqrt{\tau})} \right)^2 \approx \frac{4}{\pi\tau} \left( \frac{m_B V_M}{M_B S} \right)^2 \left( \frac{\Delta E_S}{\Delta E_\tau} \right)^2 \ll L^2/D_{\text{K}^+}$$

Where  $m_B$  is the mass ( $0.0024 \text{ g}$  in our case),  $m_B$  is the molecular weight of graphite ( $12.01 \text{ g mol}^{-1}$ ),  $V_M$  is the molar volume of graphite ( $42.74 \text{ cm}^3 \text{ mol}^{-1}$ ),  $S$  is the effective area of the electrode ( $1.13 \text{ cm}^2$ ),  $dE_\tau/d\sqrt{\tau}$  is the slope of the linearized proportional of the  $E_\tau$  during the potassiation or depotassiation process of duration time  $\tau$ (s),  $\Delta E_S$  is the potential change after every equilibrium process,  $L$  is the thickness of the electrodes. We have obtained the  $\text{K}^+$  diffusion coefficients  $\sim 10^{-10} \text{ cm}^2 \text{ s}^{-1}$  in EC/DMC-type system and  $5.0 \times 10^{-8} \text{ cm}^2 \text{ s}^{-1}$  in DME-type system, as shown in Fig. 3f. The diffusion coefficient in EC/DMC is next to that of hard carbon [16] and comparable with the  $\text{Li}^+$  rate insertion into graphite [33]. The high  $\text{K}^+$  diffusion coefficient in DME-type electrolyte hints that the potassium ion batteries can afford high rate performance in optimal electrolyte system.

Electrochemical impedance spectroscopy (EIS) at the discharge state is introduced to explore the effect of electrolytes on electrochemical property differences (Fig. 4a–b). The equivalent circuit (inset, Fig. 4a) consisting of internal resistance ( $R_s$ ), SEI resistance ( $R_f$ ), and charge transfer resistance ( $R_{ct}$ ) is used to fit the EIS (Fig. 4c–d). At the beginning, the overall resistance ( $R_s + R_f + R_{ct}$ ) in the EC/DMC-based electrolyte cell is two orders of magnitude higher ( $\sim 251 \Omega$ ) than  $10 \Omega$  of DME-based one. The  $R_{ct}$  in EC/DMC-based increases rapidly from

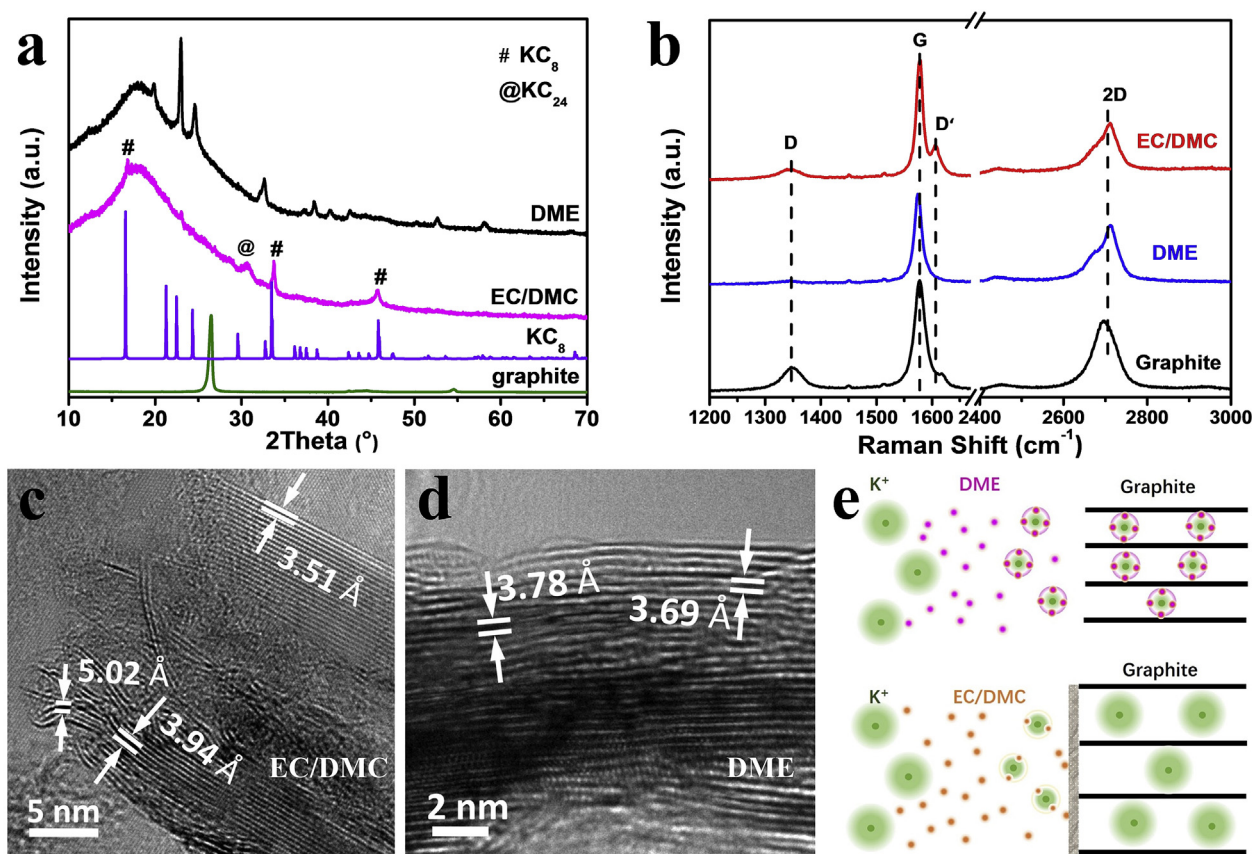


Fig. 5. (a) XRD patterns at the discharge state 0.01 V, (b) Raman spectra of graphite at discharge voltage 0.01 V, TEM images of discharged graphite (c) in EC/DMC electrolyte and (d) in DME electrolyte, (e) Schematic illustration for graphite response in different electrolytes.

162  $\Omega$  at the 1st cycle to 1520  $\Omega$  at the 160<sup>th</sup> cycle. Such a dramatic increase means a quick loss of electrochemical active sites in graphite, which might be related with huge volume expansion and irreversible K atoms accumulating. On the other hand, there is almost no formation of SEI in DME cell since the  $R_f$  is less than 10  $\Omega$  even after 350 cycles (vs.  $\sim$  200  $\Omega$  in EC/DMC cell). The absence of SEI can favorably furnish a high diffusion rate as evidenced in Fig. 3d.

The K storage mechanism in phase structures is detected via XRD patterns and Raman spectroscopy (Fig. 5a–b). Identical with Zhao's report [18],  $KC_8$  and  $KC_{24}$  are formed in the discharged state in EC/DMC-based electrolyte. Based on the characteristic peak at  $2\theta = 16.8^\circ$ , the (002) lattice plane expands 63% from pristine 3.36  $\text{\AA}$  to 5.49  $\text{\AA}$ . It is huge compared with the reported  $KVPO_4F$  with volume shrinkage 5.8% and 3.3% for  $KVPO_4$  [13]. Such an expansion is difficult to recover and make the electrode loss contact for a big charge-transfer resistance. Meanwhile, the behavior of K storage in the graphite in DME-based electrolyte is totally distinct as they share no diffraction peaks in the XRD pattern. The characteristic peaks at  $2\theta = 24.6^\circ$  and  $22.3^\circ$  reveal lattice distances to be 3.62  $\text{\AA}$  (7.1%) and 3.86  $\text{\AA}$  (14.9%), respectively. A small volume change renders a good capacity retention behavior. At the discharge state, the intensity ratio  $I_D/I_G$  in carbonate-based electrolyte is 0.088, larger than 0.035 in DME-based electrolyte, indicating an increase defects in the  $sp^3$ -defects, which is well consistent with the huge volume change as evidenced in XRD results [34]. High resolution TEM image (Fig. 5c) at the discharged state confirms that the graphite in EC/DMC-based electrolyte has a huge volume expansion with distortion to be 5.02  $\text{\AA}$ , 3.94  $\text{\AA}$ , and 3.51  $\text{\AA}$ . In contrast, demonstrated in Fig. 5d, the K inserted graphite in ether electrolyte has a relatively well-ordered structure with lattice spacing 3.69  $\text{\AA}$ –3.78  $\text{\AA}$ . A small volume expansion can well explain its good cycling performance and low charge transfer resistance. Meanwhile,

loose porous SEI is observed on the graphite surface in carbonate electrolyte while the graphite fringe in ether electrolyte is smooth. A schematic illustration (Fig. 5e) is displayed to interpret the distinct electrochemical response of graphite in different electrolytes pertaining to operational voltage and power rate. DME electrolyte has a larger electron donation number 20 than 16 of EC [35,36] so that it has a higher binding energy with  $K^+$  to form  $K^+$ -DME complexes. The complexes with “sheath” co-intercalate into the graphite. Simultaneously, the LUMO level of  $K^+$ -DME solvent would be higher than graphite so that they have no electrochemical reactions to form SEI [20]. In words, the  $[K\text{-solvent}]^+$  molecular insert into graphite without SEI blockage to form thermodynamic stable phases concomitantly with small volume expansions.

#### 4. Conclusions

The electrochemical performances of graphite as a potassium ion battery anode are comparatively studied in carbonate-based and ether-based electrolytes. Graphite in DME-based electrolytes offers a high operational voltage at  $\sim$  0.7 V (versus 0.2 V in EC/DMC-based one), good rate performance with 80  $\text{mAh g}^{-1}$  at 10 C with a negligible solid electrolyte interface, and a small volume expansion (3.36  $\text{\AA}$  to 3.62  $\text{\AA}$  for (002) plane). Different from the  $K^+$  intercalation into graphite with formation of  $KC_8$  in EC/DMC-based electrolyte, the  $K^+$ -ether co-intercalates into the graphite, which presumably has a charge shielding effect with a consequence of low interaction force with graphite leading a high insertion voltage, high apparent  $K^+$  diffusion rate  $10^{-8} \text{ cm}^2 \text{ s}^{-1}$  and low volume expansion ( $< 10\%$ ). This study demonstrates the importance of optimizing electrolytes to improve the electrodes' energy density and power density.

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